

Attorney Docket No.: 023070-125630US  
Client Ref. No.: SF 2002-071-4

PTO/SB/01A (08-03)

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN  
APPLICATION DATA SHEET (37 CFR 1.76)**

**Title of Invention**    **METHODS FOR TREATING CANCER BY INHIBITING WNT SIGNALING**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
- ☒ Application No. 10/678,639, filed on October 3, 2003,
- ☐ as amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

**FULL NAME OF INVENTOR(S)**

Inventor 1    Biao He    Date: 2/10/04  
Signature: [Signature]    Citizen of: Peoples Republic of China

Inventor 2    Liang You    Date: 2/10/04  
Signature: [Signature]    Citizen of: United States

Inventor 3    Zhidong Xu    Date: 2/10/04  
Signature: [Signature]    Citizen of: United States

Inventor 4    David M. Jablons    Date: 2/10/04  
Signature: [Signature]    Citizen of: United States

☐ Additional inventors are being named on additional form(s) attached hereto.



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

PATENT

Attorney Docket No.: 02307O-125630

UC Case No. SF 2002-071-4

on 17 Feb. 2004

TOWNSEND and TOWNSEND and CREW LLP

By Malinda Adejot

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

BIAO HE, LIANG YOU, ZHIDONG XU and  
DAVID M. JABLONS

Application No.: 10/678,639

Filed: October 3, 2003

For: METHODS FOR TREATING  
CANCER BY INHIBITING WNT  
SIGNALING

**POWER OF ATTORNEY BY ASSIGNEE  
AND EXCLUSION OF INVENTOR(S) UNDER 37 CFR § 3.71**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints Kevin L. Bastian, Reg. No. 34,774; Carol Fang, Reg. No. 48,631; M. Henry Heines, Reg. No. 28,219; Matthew E. Hinsch, Reg. No. 47,651; Laurence J. Hyman, Reg. No. 35,551; Jean M. Lockyer, Reg. No. 44,879; Jeffry S. Mann, Reg. No. 42,837; Annette S. Parent, Reg. No. 42,058; William M. Smith, Reg. No. 30,223; Joseph R. Snyder, Reg. No. 39,381; Eugenia Garrett-Wackowski, Reg. No. 37,330; Kenneth A. Weber, Reg. No. 31,677;

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all of the firm of Townsend and Townsend and Crew LLP, as its attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with 37 CFR § 3.71.

An assignment of the entire interest in the above-identified subject application:

☐ was recorded on \_\_\_\_\_ at reel/frame  
\_\_\_\_\_/\_\_\_\_\_.

☒ is being concurrently submitted under separate cover to Attn: Mail Stop

Assignments for recording, a copy not for recordation is enclosed.

Please direct all telephone calls to Jean M. Lockyer and all correspondence relative to said application to the following address:

Townsend and Townsend and Crew LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834

ASSIGNEE: THE REGENTS OF THE  
UNIVERSITY OF CALIFORNIA

Signature:

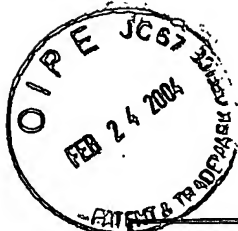
Typed Name:

Title:

Susan Y. Nakashima  
Susan Y. Nakashima  
Business Manager

1111 Franklin Street, 12th Floor  
Oakland, CA 94607-5200

Dated: February 13, 2004

Attorney Docket No. 023070-125630US  
UC Case no. SF-2002-071-4**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Biao He, Liang You, Zhidong Xu and David M. JablonsApplication No./Patent No.: 10/678,639Filed/Issue Date: October 3, 2003Entitled: METHODS FOR TREATING CANCER BY INHIBITING WNT SIGNALINGThe Regents of the University of California, a California corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

February 13, 2004

Date

415-353-4471

Telephone number

Susan Y. Nakashima

Typed or printed name

Susan Y. Nakashima

Signature

Business Manager

Title

ASSIGNMENTU.C. Case No. SF 2002-071-4

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- |                      |                            |
|----------------------|----------------------------|
| 1. <u>Biao He</u>    | 2. <u>Liang You</u>        |
| 3. <u>Zhidong Xu</u> | 4. <u>David M. Jablons</u> |

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12<sup>th</sup> Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

METHODS FOR TREATING CANCER BY INHIBITING WNT SIGNALING

and which is found in

- (a) \_\_\_\_\_ U.S. provisional application filed herewith and listing the above-named persons as inventors
- (b) \_\_\_\_\_ U.S. patent application filed herewith and listing the above-named persons as inventors
- (c) XXXX U.S. application serial no. 10/678,639, filed on October 3, 2003
- (d) \_\_\_\_\_ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

1. 10<sup>th</sup> day of Feb, 2004
2. 10<sup>th</sup> day of Feb, 2004
3. 10<sup>th</sup> day of Feb, 2004
4. 10<sup>th</sup> day of Feb, 2004

Signature of Inventor(s)

[Signature]

Liang You

Zhidong Xu

David M. Jablons

Do NOT RECORD